

Brewin Dolphin Wealth Management - Trade Execution Summary - Retail Clients - from 01/01/2018 to 31/12/2018

Class of Instrument	Debt Instruments				
Notification if <1 average trade per business day	YES				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as a % of total in that class	% of passive orders	% of aggressive orders	% of directed orders
XOFF-Off Exchange	91.48%	70.25%	n/a	n/a	0.0%
LSE2-L.S.E.	5.67%	20.66%	n/a	n/a	0.0%
BMTF-Bloomberg Trading	2.68%	6.61%	n/a	n/a	0.0%
NEXT-NEX Trading	0.11%	2.07%	n/a	n/a	0.0%
CBKD-Commerzbank Deriv. SI	0.05%	0.41%	n/a	n/a	0.0%

Class of Instrument	Equities - Shares & Depositary Receipts				
Notification if <1 average trade per business day	NO				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as a % of total in that class	% of passive orders	% of aggressive orders	% of directed orders
XOFF-Off Exchange	60.56%	38.71%	n/a	n/a	0.0%
LSE2-L.S.E.	22.75%	30.45%	n/a	n/a	0.0%
UBSI-UBS Trading SI	3.76%	3.49%	n/a	n/a	0.0%
XDUB-ISE All Markets	2.81%	4.90%	n/a	n/a	0.0%
XOTC-OTCBB	2.48%	2.90%	n/a	n/a	0.0%

Class of Instrument	Exchange Traded Products				
Notification if <1 average trade per business day	NO				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as a % of total in that class	% of passive orders	% of aggressive orders	% of directed orders
XOFF-Off Exchange	55.66%	43.92%	n/a	n/a	0.0%
LSE2-L.S.E.	28.14%	34.68%	n/a	n/a	0.0%
XOTC-OTCBB	6.52%	8.78%	n/a	n/a	0.0%
TRQM-Turquoise Plato	3.65%	0.23%	n/a	n/a	0.0%
BMTF-Bloomberg Trading	1.84%	1.13%	n/a	n/a	0.0%

Class of Instrument	Other Instruments				
Notification if <1 average trade per business day	YES				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a % of total in that class	Proportion of orders executed as a % of total in that class	% of passive orders	% of aggressive orders	% of directed orders
XOFF-Off Exchange	99.79%	67.48%	n/a	n/a	0.0%
XDUB-ISE All Markets	0.15%	23.30%	n/a	n/a	0.0%
XMSM-ISE Main Securities	0.05%	3.40%	n/a	n/a	0.0%
TRQX-Turquoise	0.00%	1.46%	n/a	n/a	0.0%
CHIX-CBOE - CXE Order Books	0.00%	2.91%	n/a	n/a	0.0%