



Execution Counterparties

A list of all execution counterparties, used in 2018, including those upon which Brewin Dolphin Capital & Investment (Ireland) Limited places significance reliance on, are stated below. Please note that this list is not exhaustive. We reserve the right to use to trade with other counterparties additional to those not listed below if we believe that it is in the best interest of a client and in accordance with our Best Execution Policy and to remove any venues from the list. The list will be reviewed and updated at least annually as part of our review of best execution arrangements or in accordance with our Best Execution Policy to satisfy that the executions and service provided will give us the best possible result.

Counterparty	Equities	Debt Instruments	Contract for Differences	Exchange Traded Products	Other Instruments
BANK OF AMERICA MERRILL LYNCH		✓		✓	
BARCLAYS CAPITAL SECURITIES LTD	✓	✓			
BLOOMBERG TRADING FACILITY LIMITED		✓			
BNP PARIBAS		✓		✓	
CANACCORD GENUITY LIMITED	✓				
CANTOR FITZGERALD EUROPE	✓				
CANTOR FITZGERALD IRELAND LIMITED	✓	✓		✓	
CBOE EUROPE LIMITED	✓			✓	
CENKOS SECURITIES PLC	✓				
CITIGROUP GLOBAL MARKETS LIMITED		✓		✓	
CMC MARKETS	✓		✓	✓	
COMMERZBANK AG	✓	✓		✓	
COWEN EXECUTION SERVICES LIMITED	✓			✓	✓
DZ BANK		✓			
EURONEXT DUBLIN	✓			✓	
FINNCAP LTD	✓				
FLOW TRADERS B.V.				✓	
GOLDMAN SACHS INTERNATIONAL	✓	✓		✓	
GOODBODY STOCKBROKERS	✓	✓		✓	
HSBC BANK PLC		✓			
IG MARKETS LIMITED	✓				
INVESTEC BANK PLC	✓			✓	
INVESTEC BANK (SWITZERLAND) AG	✓				✓
INVESTEC WEALTH & INVESTEMENT LTD UK	✓				✓

J&E DAVY	✓			✓	
J.P. MORGAN SECURITIES PLC	✓	✓		✓	
JEFFERIES INTERNATIONAL LTD	✓			✓	
LEONTEQ SECURITIES AG		✓			
LIBERUM CAPITAL LIMITED	✓				
LONDON STOCK EXCHANGE	✓			✓	
MONECOR LONDON LIMITED	✓				
MORGAN STANLEY & CO. INTERNATIONAL PLC		✓		✓	
NATIXIS SA	✓			✓	
NOMURA INTERNATIONAL PLC	✓	✓		✓	
NPLUS1 SINGER CAPTIAL MARKETS	✓				
NUMIS SECURITIES LIMITED	✓				
OPPENHEIMER EUROPE LTD	✓	✓		✓	
OPTIVER V.O.F.				✓	
PANMURE GORDON (UK) LIMITED	✓			✓	
PEEL HUNT	✓			✓	
ROYAL BANK OF CANADA EUROPE LTD	✓				
SHORE CAPITAL STOCKBROKERS	✓				
SOCIÉTÉ GÉNÉRALE SA	✓	✓			
STIFEL NICOLAUS EUROPE LIMITED	✓			✓	
SUSQUEHANNA INTERNATIONAL SECURITIES LIMITED	✓			✓	
THE ROYAL BANK OF SCOTLAND PLC		✓			
TRADEWEB EUROPE LIMITED		✓			
UBS	✓	✓		✓	
UNCREDIT BANK AG	✓	✓		✓	
VIRTU FINANCIAL IRELAND LIMITED	✓			✓	
WINTERFLOOD SECURITIES LIMITED	✓			✓	

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Brewin Dolphin Capital & Investments (Ireland) Limited trading as Brewin Dolphin & Brewin Dolphin Ireland is regulated by the Central Bank of Ireland. A member of Euronext Dublin and the London Stock Exchange.

Registered in Ireland No. 223158

Registered Office: 3 Richview Office Park, Clonskeagh, Dublin 14

Brewin Dolphin is a 'Qualifying Fund Manager' (QFM) as defined in section 784A, Taxes Consolidation Act, 1997.