



Terms and Conditions for Investment Management & Dealing Services

Section 52 Additional Terms for Brewin Dolphin Client View

Brewin Dolphin Client View (the "Service") allows you to access your Brewin Dolphin account information online including reports and account correspondence, by logging into our secure website. Brewin Dolphin have a regulatory responsibility to provide you with reports in respect of the services Brewin Dolphin provide you. The following is an overview of these additional terms, for full details please refer to section 52 of the Terms & Conditions.

Accessing the Services

This Service is only available to clients who have provided their email address and mobile number. The right of access provided to you may not be assigned, licensed or otherwise transferred by you to any other person under any circumstances. It is important you do not disclose your access details to anyone and you must take all reasonable care to prevent the unauthorised or fraudulent use of your access details. If you know or suspect that someone has obtained your access details you must inform us immediately.

Important Note: Where you sign up to the Service, you will not receive hard copies of the documentation provided to you via this Service. If you wish to receive documents in hard copy format please contact us and Brewin Dolphin will send them by post. You consent to Brewin Dolphin providing the following information to you via Brewin Dolphin Client View: general information about Brewin Dolphin and its services, information about the nature and risks of certain financial instruments, information concerning the safeguarding of financial instruments and holding of client money, information on costs and associated charges and information about Brewin Dolphin's execution policy.

Using the Service

When correspondence becomes available to you online, Brewin Dolphin will send you an email notification to inform you, to this effect. If there are multiple transactions in one day, requiring multiple reports, a single overall notification will be sent at the end of the day the documents become available.

Upon receipt of an email notification you can access your correspondence safely and securely. You agree that any obligation to provide you with documents under the Brewin Dolphin Terms & Conditions or any other terms and conditions agreed between us, shall be satisfied when Brewin Dolphin make the relevant electronic copy available to you. You will be deemed to have received the document once that notification has been sent and the document is available on Brewin Dolphin Client View.

Security

It is your responsibility to have secure access to the internet and Brewin Dolphin recommend you change your passwords regularly to keep your access details as secure as possible. You should not disclose your username, passwords or other secure information to third parties. Where Brewin Dolphin believe the integrity of our systems could be compromised by you providing your username or password details to a third party, Brewin Dolphin reserve the right to block online access to your account. Where such disclosure leads to your account being blocked Brewin Dolphin will not be liable for any damages arising from the block placed on the account.

You must notify Brewin Dolphin as soon as is reasonably practicable and without undue delay on becoming aware of any loss, theft or misappropriation of your security details. Upon notification of such an occurrence, Brewin Dolphin shall take such action as deemed appropriate at our discretion to protect the security and privacy of your Brewin Dolphin Client View account. Once you have registered for Brewin Dolphin Client View, Brewin Dolphin will never ask you to disclose in full to us your security details. You should immediately advise your Investment Manager if you receive such a request.

Reporting via Brewin Dolphin Client View

The following reports will be provided to you online, depending on your service level:

Contract Notes

For all service types we will provide contract notes on a transaction-by-transaction basis as per Section 28 of the Terms & Conditions. The contract note sets out the details of your order as executed by us, including details of the actual costs and charges incurred.

Portfolio Valuations

For discretionary and advisory investment managed clients only, we will send you a valuation on a quarterly basis unless otherwise agreed with your investment manager. The valuation incorporates a schedule setting out the transactions executed on your account during the period. In all cases, each valuation will comprise the number of units of each investment held in the portfolio, the aggregate of their initial costs (when known) and the aggregate of their value as at the date of the valuation.

Loss Notifications

For Discretionary services:

We shall inform you when the overall value of your discretionary portfolio, as evaluated at the beginning of each reporting period, depreciates by 10% and thereafter at multiples of 10%, no later than the end of the business day on which the performance calculation is completed. The calculation will be performed daily using the portfolio value as at close of business on the previous day.

For all services:

If you hold a leveraged or contingent liability instrument, in a Retail client account we will notify you if the value of any of those instruments falls by 10% on that business day or the next business day on a non-business day. We will notify you if the value of the instrument continues to fall at multiples of 10% on that business day or the next business day.

Statement of Assets

For all Dealing with Advice and Execution Only services, a statement containing details of the financial instruments and funds held on your Brewin Dolphin account, will be provided to you on a quarterly basis.

Suitability Reports

When providing investment advice to Retail clients, we will provide a Suitability Report to such Retail clients in good time before a transaction is concluded. The Suitability Report will contain the advice given to you in respect of a financial instrument, with a description of how this is suitable for you, based on the Know Your Customer (KYC) information you have provided to us.

Costs and Charges

Once a year we will provide you with an annual statement of costs and charges incurred in the previous year. For Discretionary and Advisory Managed clients, this will be included with one of your valuations. For Dealing with Advice and Execution Only clients, it will be included with one of your statement of assets.

For further details in relation to reports we provide to clients, please refer to section 15 of the Terms & Conditions, which can be found here: www.Brewin.ie/termsandconditions19

www.brewin.ie

Brewin Dolphin Capital & Investments (Ireland) Limited trading as Brewin Dolphin and Brewin Dolphin Ireland is regulated by the Central Bank of Ireland. A member of Euronext Dublin and the London Stock Exchange.

Registered in Ireland No. 223158

Registered Office: 3 Richview Office Park, Clonskeagh, Dublin 14.

Brewin Dolphin is a 'Qualifying Fund Manager' (QFM) as defined in section 784A, Taxes Consolidation Act, 1997.