



CLIENT RISK QUESTIONNAIRE – RBC BREWIN DOLPHIN WEALTH MANAGEMENT LIMITED

Before reviewing your objectives and current or potential investments, we need to understand the levels of investment risk with which you will be generally comfortable. This is known as your ‘Attitude To Risk.’ As the first step to establishing your Attitude To Risk, we have partnered with Oxford Risk (a market leader in risk profiling and a company part-owned by the University of Oxford), to produce your Risk Tolerance score and associated factors of your financial personality. We will then consider your Risk Tolerance with the investment time horizon, for each of your investment objectives, to produce your initial Attitude To Risk which will form the basis of our risk discussion.

The protection of personal information is of the utmost importance to RBC Brewin Dolphin. We take all reasonable precautions to prevent the loss, misuse or alteration of your personal information. For further details on this and how we use the information you have supplied via this site, please refer to our Privacy Notice located at www.brewin.ie/privacy-notice (<https://www.brewin.ie/privacy-notice>)

CLIENT CONTACT DETAILS

Please use black or blue ink and print in capitals throughout this form

Title

First name

Surname

Year of birth

Postcode

Answering the questionnaire

The questions below are scientifically derived to give a fair and representative measure of your Risk Tolerance (ranked “A” to “F”) and to identify which is most appropriate for you. They may seem repetitive but each is designed to be subtly different. You should answer each question thoughtfully and honestly – there are no right or wrong answers. If a question seems open to interpretation, just give the response that feels most intuitive to you. To ensure this is a fair reflection of you, please do not ask anyone for advice. Once you have completed this questionnaire, we will use your responses to determine your level of Risk Tolerance and other aspects of your general risk preferences. Your RBC Brewin Dolphin representative will explain your Risk Tolerance and its implications for your investment choices at your next meeting.

Please complete in advance of the meeting and return in the prepaid envelope provided

RISK QUESTIONNAIRE Please mark boxes with an ‘X’

On a scale of 1 to 5, where 1 is ‘Strongly disagree’ and 5 is ‘Strongly agree’, please indicate your feelings about the following statements.

1	2	3	4	5
Strongly disagree				Strongly agree

1 I would probably invest a very significant amount in a high-risk investment.

2 I would be happy putting my money into the stock market.

3 I would worry a great deal if I thought I would lose money in an investment.

4 I would consider investing in a risky investment for the excitement of seeing whether it goes up or down in value.

5 I would worry about losing money on the stock market.



Continued overleaf



RISK QUESTIONNAIRE (CONTINUED)

On a scale of 1 to 5, where 1 is 'Strongly disagree' and 5 is 'Strongly agree', please indicate your feelings about the following statements.		1	2	3	4	5
		Strongly disagree				Strongly agree
6	Risks are necessary to make money.					
7	The level of risk doesn't matter; it is more important to have the opportunity of achieving higher returns with my money.					
8	I would be anxious if I saw my investments had gone down in value.					
9	I would be happy to accept large short-term falls in the value of my investments to maximise my potential longer-term returns.					
10	I worry about the instability of the stock market.					
11	I believe that I generally take bigger investment risks with my money than other people.					
12	I would be happy to risk losses to get potentially greater long-term gains.					
13	I would get a thrill from making risky investments with my money.					
14	If there's a chance of making better long-term returns, I'm prepared to take an investment risk.					
15	I worry about the volatility of the stock market.					
16	I expect high investment growth and I am willing to accept the consequent possibility of large losses.					
17	The idea that the value of my investments can be variable makes me feel anxious.					
18	In my view, you need to take risks to make money.					
19	I understand that all investing involves some degree of risk, however small.		Agree		Disagree	

The information given in this form will be handled in accordance with the provisions of the General Data Protection Regulation.

Please return your completed questionnaire as soon as possible using the prepaid envelope.